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ORIGINAL ARTICLE

Assessment of pain during application of nasal-continuous positive airway pressure and heated, humidified high-flow nasal cannulae in preterm infants

M Osman¹, A Elsharkawy² and H Abdel-Hady¹

OBJECTIVE: To assess pain and compare its severity in preterm infants during application of nasal-continuous positive airway pressure (nCPAP) and heated, humidified high-flow nasal cannulae (HHHFNC).

STUDY DESIGN: An observational cross-sectional study. Sixty preterm infants, categorized into nCPAP ($n = 37$) and HHHFNC groups ($n = 23$). Pain response was assessed using Premature Infant Pain Profile (PIPP), duration of first cry and salivary-cortisol concentrations.

RESULT: The PIPP scores were significantly higher in the nCPAP compared with HHHFNC group (10 (7–12) vs 4 (2–6), $P < 0.01$). None of the infants in the HHHFNC group had severe pain defined as a PIPP score > 12 , compared with 5 (13.5%) infants in the nCPAP group. Salivary-cortisol concentrations were significantly higher in nCPAP group compared with the HHHFNC group (5.0 (3.6–5.9) vs 1.6 (1.0–2.3) nmol l^{-1} , $P < 0.01$). A lower incidence of cry was observed for infants in the HHHFNC group compared with the nCPAP group (11 (47.8%) vs 30 (81.1%), $P < 0.001$), however, the duration of first cry was not significantly different between groups. The respiratory rate was significantly lower after application of HHHFNC compared with nCPAP ($P < 0.001$). There were no significant differences between groups with regard to fraction of inspired oxygen (FiO_2), oxygen saturation by pulse oximeter (SpO_2) and heart rate.

CONCLUSION: The application of HHHFNC in preterm infants is associated with less pain compared with nCPAP, as it is associated with less PIPP scores and lower salivary-cortisol concentrations.

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INTRODUCTION

Neonates admitted to neonatal intensive care units (NICUs) experience multiple stressful and painful procedures.¹ Despite guidelines from professional societies for the management of procedural pain in neonates,² most of these procedures are performed without pharmacological or non-pharmacological analgesia.³ Untreated pain during this critical period of brain development is associated with both immediate and long-term consequences.⁴

Nasal-continuous positive airway pressure (nCPAP) is frequently used as a respiratory support strategy for preterm infants. Several nCPAP devices are available, but all can cause nasal trauma which can result in permanent deformities.^{5–7} Moreover, many preterm infants do not tolerate the nCPAP prongs, which must be tightly affixed to the nose and face. Heated, humidified high-flow nasal cannulae (HHHFNC) is increasingly used as an alternative means of providing non-invasive respiratory support in preterm infants.^{8,9} Because HHHFNC have a simpler interface with the infant and smaller prongs than nCPAP, it is considered a more convenient and “gentler” way to provide nCPAP, the HHHFNC are perceived as easier to use, more comfortable for the infant, less traumatizing to the nose,^{10–12} advantageous for mother-infant bonding,¹¹ and reduces cost.¹² No previous study has assessed and compared objectively the pain response during the

application of nCPAP and HHHFNC in preterm infants. We hypothesized that the application of HHHFNC is less painful compared with the application of nCPAP in preterm infants requiring respiratory support.

METHODS

Subjects

This is an observational cross-sectional study performed on 60 preterm infants who are categorized into two groups; the first group received nCPAP, the second group received HHHFNC. This study was conducted in the NICU at Mansoura University Children's Hospital in the period between December 2012 and January 2014. The study was approved by the local Medical Research Ethics Committee and written informed consent was obtained from parent(s) or guardian(s) before the study. We enrolled preterm infants at the time of application of nCPAP or HHHFNC. The decision of putting the baby on nCPAP or HHHFNC was according to the attending neonatologist's decision, both modalities are used in our NICU for neonates requiring respiratory support. We excluded the infants: (1) with signs of serious, life-threatening malformations, (2) who had undergone any surgical intervention, (3) who had undergone any painful procedures, such as venipuncture, intubation, suctioning, blood sampling, heel-prick, catheterization, and so on, 30 min before assessment, (4) in whom salivary samples could not be obtained or were contaminated by blood and (5) with signs of nasal injuries at the time of application of nCPAP or HHHFNC.

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Nasal-continuous positive airway pressure

In total, 37 preterm infants were included in the nCPAP group. The need for nCPAP was based on: the presence of signs of respiratory distress ($n=23$, 62%), recurrent apnea ($n=3$, 8%), or as a method of weaning from mechanical ventilation ($n=11$, 30%). The INCA Infant Nasal CPAP Assembly (Cooper Surgical, Trumbull, CT, USA) was used to deliver nCPAP. The prong size was chosen from five different available sizes, for appropriate nasal prong fitting, the INCA sizing gauge was used. The nasal prongs should fill the nasal opening completely without stretching the skin or putting undue pressure on the nares. The bridge of the prong was not allowed to press up against the septum, a small space was left between the tip of the septum and the bridge between the prongs. The mean initial nCPAP pressure was 5.0 cm H₂O in all infants, the pressure was altered at the physician's discretion in a stepwise fashion during the course of NICU admission.

High-flow nasal cannulae

A total of 23 infants received HHHFNC. The need for HHHFNC was based on the presence of signs of respiratory distress ($n=15$, 65%), recurrent apnea ($n=2$, 9%), or as a method of weaning from mechanical ventilation ($n=6$, 26%). Bi-nasal cannulae, 2.4-mm external diameter (Ultradmed Med Healthcare, Cairo, Egypt) were used. The HHHFNC therapy system was composed of oxygen and air source, blender, flow meter, humidifier (MR850 humidifier, Fisher & Paykel Healthcare, Auckland, New Zealand). The inspired gas temperature was set at 37 °C. Nasal cannulas were applied according to manufacturer's suggestions with recommendations that the prong-outer diameter occupy ~50% of the nares internal diameter. The typical starting flow rate was 4 l min⁻¹. Flow rates were altered at the attending physician's discretion in a stepwise fashion, with mandated limits between 2 l min⁻¹ and the maximum 8 l min⁻¹.

None of the infants in both groups received pharmacological or non-pharmacological pain alleviating interventions and no topical anesthetics or hydrocolloid gel were used at the time of application of nCPAP or HHHFNC. Patients were monitored for heart rate and respiratory rate using Draeger Infinity Delta cardio-respiratory monitor (Draeger Medical Systems, Danvers, MA, USA), and preductal oxygen saturation by pulse oximeter (SpO₂) was measured using Nellcor Oximax N-600 Oximeter (Covidien-Nellcor and Puritan Bennett Boulder, Boulder, CO, USA). The baseline heart rate and SpO₂ before application of nCPAP/ HHHFNC and the maximum heart rate and the minimum SpO₂ in the 30 s after the application of nCPAP/ HHHFNC were used to calculate the physiological indices in the Premature Infant Pain Profile (PIPP) score. The initial fraction of inspired oxygen (FiO₂) was 30%, the FiO₂ was adjusted to keep SpO₂ (90–95%).

Measurements

Pain assessment was based on PIPP, presence of crying, duration of first cry and salivary-cortisol concentrations. The duration of the first cry was defined as audible distressed vocalizations with a continuous pattern before a quiet interval of 5 s soon after application of nCPAP or HHHFNC.

Premature infant pain profile

The PIPP¹³ was used to measure pain at bedside, directly after application of nCPAP/HHHFNC. PIPP comprises three behavioral variables (time of brow bulge, eye squeeze and naso-labial furrow), two physiologic variables (changes in heart rate and SpO₂) and two contextual variables (gestational age and behavioral state). Behavioral state ranges from "active/awake, eyes open, facial movements" to "quiet/sleep, eyes closed, no facial movements." Every variable is scored on a scale from 0 to 3. A total score, the sum of total of points, indicating: lack of pain (0–6), mild-moderate pain (6–12) and severe pain (above 12). PIPP has documented reliability and validity and has been used previously in several studies in neonates.^{14,15} PIPP score measurement was based on video recording the infant for 45 s. Three different DVDs were compiled with the sets in random order. Three different nurses from NICU were recruited to evaluate the segments. They were not informed of the nature of the study. All three nurses were trained in performing the PIPP. The facial expression component of the PIPP was re-scored by two observers in 15 of the 60 (25%) videos to assess intra-rater and inter-rater reliability using the Bland-Altman test. Bland-Altman plots showed good reliability with little bias (intra-rater bias 0.08; inter-rater bias 0.76). The limits of agreement for the intra-rater re-test were ± 1.71. The limits of agreement for the inter-rater comparison were ± 1.52.

Salivary cortisol

Salivary samples were obtained 30 min after application of nCPAP/ HHHFNC using sterile-single channel 500 µl pipette (Dragon Laboratory Instruments, Beijing, China). All salivary samples were obtained before the introduction of feeds to avoid contamination of saliva samples with milk. After collection, the saliva was centrifuged, frozen and stored at -70 °C. The samples were later analyzed using enzyme linked immunosorbent assay technique; IBL kits (IBL International GmbH, Hamburg, Germany). Intra-assay coefficients of variation were 12% at 2.0 nmol l⁻¹ and 6.0% at 10.0 nmol l⁻¹. Samples were run neat in duplicate, and all samples from an individual were run in the same assay.

Statistical analysis

Statistical analyses were performed using SPSS statistical software (version 16; SPSS, Chicago, IL, USA). Kolmogorov-Smirnov test was done to examine the distribution of data. Student's-t test was used to compare continuous parametric variables; Mann-Whitney U-test was used to compare continuous non-parametric variables; X² test or Fisher's exact test were used for categorical variables, when appropriate. Bland-Altman test was used to assess intra-rater and inter-rater reliability for PIPP. A P-value of < 0.05 was considered to be statistically significant.

RESULTS

A total of 79 infants were screened for participation and 60 infants qualified on the basis of inclusion and exclusion criteria. Nineteen infants were not included in the study owing to the failure to obtain consent ($n=9$), failure to obtain salivary-cortisol ($n=6$) or saliva samples were contaminated with blood ($n=2$), complex congenital heart disease ($n=1$) and surgical interventions ($n=1$).

There were no significant differences between the groups regarding demographic data, clinical characteristics and respiratory outcomes (Table 1). The mean ± s.d.-initial nCPAP pressure was 5.0 ± 0.82 cm H₂O and the mean ± s.d.-initial HHHFNC flow rate was 4.0 ± 1.88 l min⁻¹. The PIPP scores were significantly higher in the nCPAP compared with HHHFNC group (10 (7–12) vs 4 (2–6), $P < 0.01$); Figure 1). None of the infants in the HHHFNC group had severe pain defined as a PIPP score > 12, compared with 5 (13.5%) infants in the nCPAP group (Table 2).

Table 1. Demographic data, clinical characteristics and respiratory outcome of the study groups

	nCPAP (n = 37)	HHHFNC (n = 23)	P- value
Gestational age (weeks) ^a	31.9 ± 3.2	32.3 ± 1.8	0.58
Birth weight (g) ^a	1687 ± 555	1797 ± 561	0.46
Postnatal age (days) ^b	6 (3.5–12)	5 (3–11)	0.66
Male sex ^c	15 (40.5%)	12 (52.2%)	0.38
Cesarean delivery ^c	32 (86.5%)	20 (87%)	0.96
Inborn ^c	11 (29.7%)	7 (30.4%)	0.99
Mechanical ventilation ^c	21 (56.8%)	9 (39.1%)	0.18
Antenatal steroids ^c	23 (62.2%)	14 (60.9%)	0.57
Surfactant therapy ^c	4 (10.8%)	3 (13.0%)	0.55
Caffeine therapy ^c	24 (64.9)	18 (78.3)	0.21
Total parental nutrition ^c	6 (16.2%)	3 (13.0%)	0.52
Central venous access ^c	6 (16.2%)	4 (17.4%)	0.59
Pneumothorax ^c	1 (2.7%)	1 (4.3%)	0.62
Duration of oxygen therapy (days) ^b	8 (1–9)	7 (1–9)	0.73
Duration of respiratory support (days) ^b	16 (3–32)	12 (2–34)	0.89
Duration of hospitalization (days) ^b	27 (18–38)	24 (17–36)	0.48

Abbreviations: HHHFNC, heated, humidified high-flow nasal cannulae; nCPAP, nasal-continuous positive airway pressure. ^aData expressed as mean ± s.d. ^bMedian (interquartile range). ^cNumber (%).

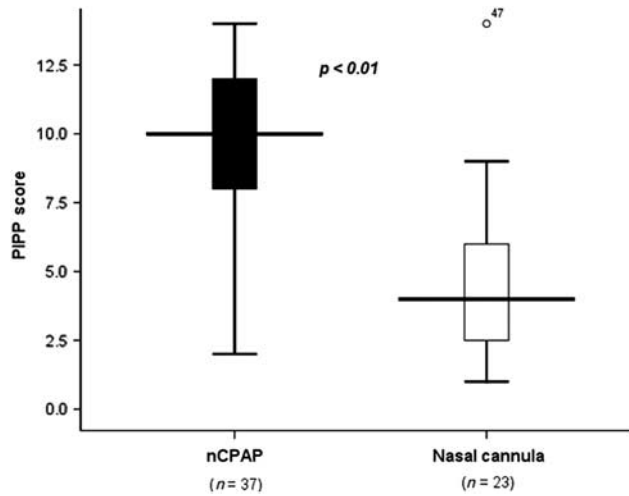


Figure 1. PIPP scores in study groups.

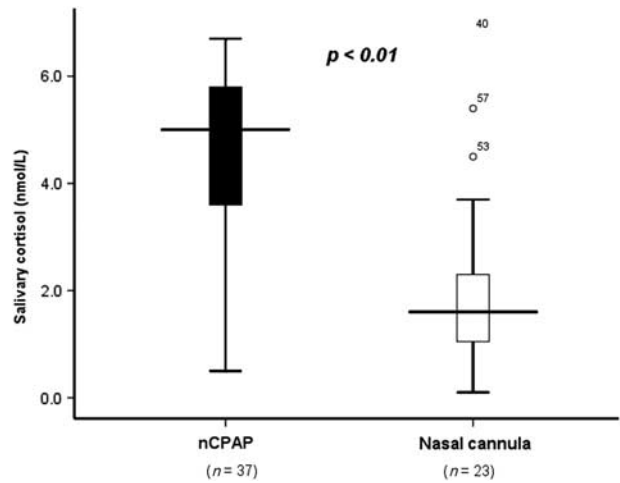


Figure 2. Salivary cortisol in study groups.

Table 2. PIPP scores, presence of cry, and duration of first cry in study groups

	nCPAP (n = 37)	HHHFNC (n = 23)	P-value
PIPP score ^a	10 (7–12)	4 (2–6)	< 0.01
PIPP score ^b			
< 6	13 (35.1%)	16 (69.6%)	
6–12	19 (51.4%)	7 (30.4%)	0.03
> 12	5 (13.5%)	0	
Cry ^b			
Yes	30 (81.1%)	11 (47.8%)	0.007
No	7 (18.9%)	12 (52.2%)	
Duration of first cry (seconds) ^a	49 (34–63)	22 (15–40)	0.58

Abbreviations: HHHFNC, heated, humidified high-flow nasal cannulae; nCPAP, nasal-continuous positive airway pressure; PIPP, Premature Infant Pain Profile. ^aData expressed as median (interquartile range). ^bData expressed as as number (%).

Table 3. Respiratory rate, fraction of inspired oxygen (FiO₂) and oxygen saturation by pulse oximeter (SpO₂) in the study groups

	nCPAP (n = 37)	HHHFNC (n = 23)	P-value
Respiratory rate (breath per minute) ^a	46 (40–55)	40 (36–44)	< 0.001
Heart rate (beat per minute) ^b	166 ± 23	148 ± 15	0.08
FiO ₂ (%) ^b	37.5 ± 6.8	29.7 ± 2.1	0.06
SpO ₂ (%) ^b	94.5 ± 4.0	93.5 ± 3.7	0.33

Abbreviations: FiO₂, fraction of inspired oxygen; HHHFNC, heated, humidified high-flow nasal cannulae; nCPAP, nasal-continuous positive airway pressure; SpO₂, oxygen saturation by pulse oximeter. ^aMedian (interquartile range). ^bData expressed as mean ± s.d.

Salivary-cortisol concentrations were significantly higher in the nCPAP group compared with HHHFNC group (5.0 (3.6–5.9) vs 1.6 (1.0–2.3) nmol l⁻¹, *P* < 0.01) (Figure 2).

A lower incidence of cry was observed for infants in the HHHFNC group compared with the nCPAP group (*P* = < 0.001), however, the duration of first cry was not significantly different between groups (Table 2). We recorded the respiratory rate, heart rate, FiO₂ and SpO₂ 5 min after application of nCPAP/HHHFNC (Table 3). The respiratory rate was significantly lower after application of HHHFNC compared with nCPAP (*P* < 0.001). There were no significant differences between groups as regard FiO₂, SpO₂ and heart rate (Table 3).

DISCUSSION

nCPAP and HHHFNC therapy are the most commonly used respiratory support strategies in NICUs. As far as we know, no previous study have assessed and compared objectively the pain response during the application of nCPAP and HHHFNC in preterm infants.

We have demonstrated that the pain response was less during the application of HHHFNC than during application of nCPAP, as

evidenced by lower PIPP scores, salivary-cortisol concentrations and less incidence of cry. The nCPAP prongs are designed to seal within the nares to maintain a constant-airway pressure. During nCPAP nasal injuries from prongs and masks are common and may result in permanent deformity.^{5–7} HHHFNC, on the other hand, are smaller, lighter, do not need to be snugly inserted into the baby's nostrils. This may lead to less discomfort during the insertion of the HHHFNC and less nasal trauma compared with nCPAP as reported in our study and in previous studies.^{10–12}

A recent randomized cross-over trial,¹⁶ compared patient comfort (defined as absence of prolonged pain assessed by EDIN scale),¹⁷ in 20 preterm infants < 34 weeks gestation with HHHFNC vs nCPAP. They have found no differences between HHHFNC and nCPAP in mean-cumulative EDIN score. However, parents of enrolled infants preferred HHHFNC to CPAP.¹⁶

We opted to use the PIPP in our study. Many infant pain measures have been developed over the past two decades.^{14,18} The majority of these measures have limited validation. The PIPP score is a well-developed and -studied composite measure of procedural pain and frequently used as an effective outcome measure in pain intervention studies in neonates.^{13–15} We have assessed the PIPP scores using 45 s video recordings. As per the PIPP scoring guidelines, the behavioral state is scored by observing the infant for 15 s before and for 30 s immediately following the painful event.¹³ Many studies used similar time frames in assessing PIPP scores using video-recordings.^{19–21} More infants in the nCPAP had cried during the application of nCPAP

compared with HHHFNC group; although, the duration of first cry was not significantly different between groups. Assessment of pain through measuring isolated parameters such as the duration of cry has been criticized for being neither sensitive, nor specific.²²

In our study, the salivary cortisol was significantly higher in infants receiving nCPAP compared with HHHFNC. Many investigators have previously shown the advantages of using salivary cortisol as a marker of stress and pain in newborn infants.^{23,24} Measuring cortisol in saliva rather than in plasma is easy to perform, painless and non-invasive. Moreover, salivary-cortisol reflects free cortisol rather than total cortisol that can be affected by plasma-binding protein concentrations,²⁵ and it has been validated against serum cortisol in preterm infants.²⁶

Despite these advantages, only a few studies assessed salivary-cortisol responses in preterm babies during painful procedures,^{23,24} basically, as a result of methodological problems in obtaining sufficient amounts of saliva.^{16,27,28} We managed to collect saliva by a novel technique using a pipette with a high success rate (95.3%) without using salivary-flow stimulants, such as citric acid, which may potentially result in inaccurately-high levels of salivary cortisol.²⁹ Another factor that may have increased our success in obtaining salivary-cortisol samples is that infants receiving nCPAP and HHHFNC often have increased salivation during the first few hours of therapy.³⁰

Nursing staff should assess the pain response during application of nCPAP and HHHFNC. Intense pain should be managed with pharmacological agents, whereas lesser pain can be managed by means of non-pharmacological pain alleviating interventions.³¹ According to our results, pharmacological and non-pharmacological analgesia will be frequently required during application of nCPAP; on the other hand, fewer infants receiving HHHFNC will require non-pharmacological interventions only. Enders et al.³² has advocated the use of low-dose morphine (single intravenous dose of 0.01 mg kg⁻¹) for analgesia in preterm infants receiving nCPAP, however, 9.3% of infants receiving low-dose morphine in their study developed considerable delayed apnea. Controversy exists regarding the safety and long-term impact of opioids analgesia in mechanically ventilated neonates.³³ A number of non-pharmacological techniques have been advocated as pain-relieving interventions in neonates, integration of these interventions into routine clinical practice will alleviate neonatal distress and provide greater satisfaction to both the parents and clinical staff. These non-pharmacological techniques include non-nutritive sucking, kangaroo care, facilitated tucking, swaddling and rocking/holding.³⁴ Oral sucrose is recommended by the American Academy of Pediatrics and the Canadian Pediatric Society,² to be used as a routine pain relief in NICUs during invasive and painful procedures; however, studies into its use in repeat doses remain inconclusive and merit further investigation.³⁵

We have demonstrated that infants receiving HHHFNC have significantly lower respiratory rate compared with those receiving nCPAP. Similarly, Klingenberg et al.¹⁶ have demonstrated lower respiratory rates in infants on HHHFNC compared with nCPAP, they attributed this to the washout effect of HHHFNC leading to the lower CO₂ levels, and HHHFNC being less painful than nCPAP. Giving the perceived benefit of HHHFNC compared with nCPAP in decreasing pain and discomfort, does not necessarily mean that we should shift from using nCPAP to HHHFNC in preterm infants requiring respiratory support until the safety and efficacy of HHHFNC is proven in adequately randomized-controlled trials.³⁶

We acknowledge that there are limitations to this study like small sample size, lack of double-blind randomized controls and lack of data on baseline salivary-cortisol concentrations. Further, adequately powered randomized-controlled trials are required to compare the pain response on using different nCPAP systems and interfaces. Also, evaluation of the effect of pharmacological and non-pharmacological interventions in alleviating the pain

associated with the application of nCPAP and HHHFNC should be considered.

CONCLUSIONS

The application of HHHFNC in preterm infants is associated with less pain compared with nCPAP, as it is associated with less PIPP scores and lower concentrations of salivary cortisol.

CONFLICT OF INTEREST

The authors declare no conflict of interest.

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